



image

1624

PTO/SB/21 (08-03)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/051,776
Filing Date	January 16, 2002
First Named Inventor	Edward Pierson
Art Unit	1624
Examiner Name	Patel, Sudhakder B
Attorney Docket Number	100507-1

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	George Gilbert; AstraZeneca Pharmaceuticals
Signature	<i>George Gilbert</i>
Date	12-31-03

CERTIFICATE OF TRANSMISSION/MAILING

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AstraZeneca Docket No. 100507-1

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application of: **PIERSON, et al.**
Application Number: **10/051,776**
Filed: **January 16, 2002**

Group Art Unit: **1624**
Examiner: **Patel, Sudhaker B**

For: **THERAPEUTIC HETEROCYCLIC COMPOUNDS**

Assistant Commissioner For Patents
Box Issue Fee
Washington, DC 20231

Information Disclosure Statement

Sir:

Applicants submit herewith a list of information that Applicants believe may be material to the patentability of claims of the above-identified application and for which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. Applicants have attached a notice of co-pending applications as well as a Form 1449. Applicants have also enclosed copies of co-pending applications as well as references.

In accordance with 37 C.F.R. §§ 1.97 (g) and (h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that the information cited is material to patentability as defined in 37 C.F.R. § 1.56.

This Information Disclosure Statement is being filed before the mailing date of a first office action on the merits pursuant to 37 C.F.R. § 1.97(b)(3), and therefore no fee is required pursuant to 37 C.F.R. § 1.17(p) for consideration of this information.

December 31, 2003

Respectfully Submitted

By 

George A. Gilbert

Attorney for Applicant

Reg. No. 35,881

Telephone: 302/886-3887

Notice of Co-pending Applications

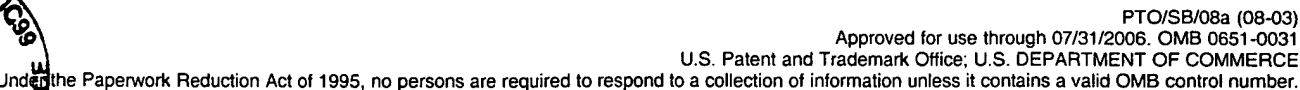
Sir:

Applicants submit herewith a list of co-pending applications pursuant to MPEP § 2001.06:

US 10/466,449 filed 07/16/2003

US 10/466,565 filed 07/16/2003

US 10/466,540 filed 07/16/2003



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

1

Application Number

10/051.776

Filing Date

01/16/2002

First Named Inventor

Edward Pierson

Art Unit

1624

Examiner Name

Attorney Docket Number

100507-1 US

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 9109853	07/11/1991	Aktiebolaget Astra		
		EP 0546389	06/16/1993	Bayer AG		
		GB 2177084	01/14/1987	Imperial Chemical Industries		

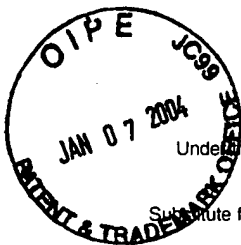
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/051,776
Filing Date	01/16/2002
First Named Inventor	Edward Pierson
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Examiner Name	
Attorney Docket Number	100507-1 US

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		PCT Written Opinion PCT/SE02/00070	
		PCT Written Opinion PCT/SE02/00069	
		PCT Written Opinion PCT/SE02/00068	
		International Search Report for International Application No. PCT/SE02/00070	
		International Search Report for International Application No. PCT/SE02/00069	
		International Search Report for International Application No. PCT/SE02/00068	

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: C mmissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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